

Deriving experimental constraints on the scalar form factor in the second-class $\tau \rightarrow \eta\pi\nu$ mode

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Abstract

The rare second-class decay mode of the τ into $\eta\pi\nu$ could be observed for the first time at Belle II. It is important to try to derive a reliable evaluation of the branching fraction and of the energy distribution of this mode within the standard-model. Many predictions exist already in the literature which can differ by one to two orders of magnitude. Here, an approach based on a systematic use of the property of analyticity of form factors and scattering amplitudes in QCD is discussed. In particular, we will show that the scalar form factor in the τ decay can be related to photon-photon scattering and radiative ϕ decay amplitudes for which precise experimental measurements have been performed by the Belle and KLOE collaborations.



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1 Introduction

The decay $\tau \rightarrow \eta\pi\nu$ is induced by second-class type currents [1]. In the Standard Model (SM) the amplitude must thus be proportional to the small isospin breaking parameters: $(m_d - m_u)/m_s$, e^2 and it has a sensitivity to new scalar or tensor interactions (e.g. [2–4]). As Toni Pich has reminded us in the introductory talk, this mode was once claimed to have been observed [5] with an unexpectedly large branching fraction $BF = (5.1 \pm 1.5)\%$ but this was not confirmed. The best upper bounds now available are $BF < 7.3 \times 10^{-5}$ (Belle [6]) and $BF < 9.9 \times 10^{-5}$ (Babar [7]). At the new Belle II facility, it has been estimated [8] that the $\tau \rightarrow \eta\pi\nu$ decay could be observed with a significance of 2.6σ for a branching fraction of 4.4×10^{-5} . A second-class scalar current would lead to a simple modification of the scalar form factor (defined below (2)) by a term linear in energy

$$f_0^{\eta\pi}(s) = f_0^{\eta\pi}(s)|_{SM} \left(1 - \epsilon_S \frac{s}{m_\tau(m_d - m_u)} \right), \quad (1)$$

proportional to the strength ϵ_S (with the notation of [9]) of the new interaction. A correct estimate of the scalar form factor in the SM is necessary in order to derive reliable constraints on ϵ_S from experimental results.

A number of evaluations of the $\eta\pi$ vector and scalar form factors have already been performed (e.g. [3, 10–16] for a representative list). The predictions for the branching fraction associated with the vector form factor lie in a range $BF_V \simeq [0.1 - 0.8] \cdot 10^{-5}$ while those associated with the scalar form factor seem more uncertain: $BF_S \simeq [0.3 - 5.3] \cdot 10^{-5}$.

We present here an evaluation of $f_0^{\eta\pi}$ which exploits the properties of analyticity and unitarity of form factors in QCD (e.g. [17]). In this framework, generating the energy dependence of the form factors can be viewed as a final-state interaction (FSI) problem. This allows us to derive relations between the scalar form factor and the photon-photon production amplitude $\gamma\gamma \rightarrow \eta\pi$ and also with the ϕ radiative decay amplitude $\phi \rightarrow \gamma\eta\pi$, for which FSI theory can also be applied and which are experimentally known.

2 Unitarity and Omnès representations of form factors

The τ decay amplitude into two pseudoscalar mesons involves the matrix element of the charged vector current $\langle P_1(p_1)P_2(p_2)|j_\mu^{uq}(0)|0 \rangle$ (with $j_\mu^{uq} = \bar{u}\gamma_\mu q$, $q = d, s$), which can be expressed in terms of two independent form factors

$$\langle P_1(p_1)P_2(p_2)|\bar{u}\gamma^\mu q|0 \rangle = C_{12} \left\{ f_+^{P_1P_2}(s) \left[p_1 - p_2 - \frac{\Delta_{12}}{s}(p_1 + p_2) \right]^\mu + f_0^{P_1P_2}(s) \frac{\Delta_{12}}{s} [p_1 + p_2]^\mu \right\}, \quad (2)$$

where $s = (p_1 + p_2)^2$, $\Delta_{12} = m_1^2 - m_2^2$ and C_{12} is a numerical factor (below, we will need $C_{\eta\pi^+} = -\sqrt{2}$, $C_{\bar{K}^0K^+} = -1$). Using the Ward identity for the vector current, the scalar form factors $f_0^{P_1P_2}$ can also be expressed in terms of the matrix elements,

$$C_{12}\Delta_{12}f_0^{P_1P_2}(s) = -\langle P_1(p_1)P_2(p_2)|(m_d - m_u)\bar{u}d - eA_\mu j^{\mu,ud}|0 \rangle. \quad (3)$$

For the $\pi\pi$ or πK vector form factors, simple approximate evaluations are known to hold e.g.

$$f_+^{\pi K}(s) \simeq f_+^{\pi K}(0)\Omega_1(s), \quad \Omega_1(s) = \exp\left(\frac{s}{\pi} \int_{(m_\pi+m_K)^2}^{\infty} ds' \frac{\delta_1^{1/2}(s')}{s'(s'-s)}\right), \quad (4)$$

where Ω_1 is the Omnès function [18] and $\delta_1^{1/2}$ is the $J = 0$ $I = 1/2$ πK scattering phase shift. This is justified because πK scattering is effectively elastic in a energy region $s < \Lambda^2$, with

$\Lambda \simeq 1$ GeV, which includes the leading low energy resonance $K^*(892)$. Multiplying $f_+^{\pi K}$ by the inverse of the Omnès function removes the cut below Λ , such that in the region $s < \Lambda^2$ one can write a low energy expansion: $\Omega^{-1}(s)f_+^{\pi K}(s) = f_+^{\pi K}(0)(1 + O(s/\Lambda^2))$. The situation is different for the $\pi\eta$ vector form factor because contributions to unitarity arise from the states $|\gamma\pi^+\rangle$, $|\pi^0\pi^+\rangle$ which are lighter than the elastic threshold $|\eta\pi^+\rangle$. The contribution from the $|\pi^0\pi^+\rangle$ should be the dominant one below 1 GeV as it is enhanced by the $\rho(770)$ resonance. The corresponding discontinuity reads,

$$\text{disc}[f_+^{\pi\eta}(s)]_{\pi\pi} = 2i\theta(s - 4m_\pi^2) \frac{4m_\pi^2 - s}{\sqrt{\lambda_{\eta\pi}(s)}} F_V^\pi(s) \times (t_{J=1}^{\pi^0\pi^+ \rightarrow \eta\pi^+}(s))^*, \quad (5)$$

where $\lambda_{12}(s) = (s - (m_1 + m_2)^2)(s - (m_1 - m_2)^2)$. It involves the pion form factor (which is well measured) and the $J = 1$ projection of the $\pi\pi \rightarrow \eta\pi$ amplitude. This amplitude was evaluated in [15] using Khuri-Treiman equations with experimental inputs on $\eta \rightarrow 3\pi$ decays.

Let us now consider the scalar form factor $f_0^{\pi\eta}$. In that case, the $|\pi^0\pi^+\rangle$ contribution to unitarity can be neglected because it is quadratic in isospin breaking. The important low energy scalar resonance is the $a_0(980)$ which is known to couple strongly to the two channels $|\eta\pi^+\rangle$ and $|\bar{K}^0 K^+\rangle$. The unitarity relation for the scalar form factor, including these two channels, reads (see ref. [15] for details on the derivation)

$$\begin{aligned} \text{Im}[f_0^{\eta\pi^+}(s)] &= \sigma_{\eta\pi^+}(s) f_0^{\eta\pi^+}(s) \times (t_{J=0}^{\eta\pi^+ \rightarrow \eta\pi^+}(s))^* \\ &+ \sigma_{\bar{K}^0 K^+}(s) \frac{\Delta_{\bar{K}^0 K^+}}{\sqrt{2}\Delta_{\eta\pi}} f_0^{\bar{K}^0 K^+}(s) \times (t_{J=0}^{\eta\pi^+ \rightarrow \bar{K}^0 K^+}(s))^*, \end{aligned} \quad (6)$$

where $\sigma_{12}(s) = \theta(s - (m_1 + m_2)^2) \sqrt{\lambda_{12}(s)}/s$ and a similar relation can be written for $\text{Im}[f_0^{\bar{K}^0 K^+}]$. This suggests a minimal representation of the scalar form factors analogous to eq. (4) involving an Omnès matrix instead of a function,

$$\begin{pmatrix} f_0^{\eta\pi^+}(s) \\ \epsilon_{KK} f_0^{\bar{K}^0 K^+}(s) \end{pmatrix} \simeq \begin{pmatrix} \Omega_{11}(s) & \Omega_{12}(s) \\ \Omega_{21}(s) & \Omega_{22}(s) \end{pmatrix} \begin{pmatrix} f_0^{\eta\pi^+}(0) \\ \epsilon_{KK} f_0^{\bar{K}^0 K^+}(0) \end{pmatrix}, \quad (7)$$

with¹ $\epsilon_{KK} = -\Delta_{\bar{K}^0 K^+}/\sqrt{2}\Delta_{\eta\pi}$. The coupled-channel Omnès-Muskhelishvili problem cannot be solved in closed form in terms of phase-shifts and inelasticities as in the one-channel case, but the equations can be solved numerically in terms of the T -matrix elements [19]. Furthermore, no direct experimental determinations of the phase-shifts and inelasticities have been performed for $\eta\pi$ scattering.

3 The Ω matrix in $\gamma\gamma$ scattering and $\phi(1020)$ radiative decay

Cross-sections for $\gamma\gamma \rightarrow \eta\pi^0$ have first been measured by the Crystal Ball collaboration at SLAC [20] and at DESY [21]. Recently, high statistics measurements have been performed by the Belle collaboration [22]. The $\gamma\gamma \rightarrow \eta\pi^0$ process is described by helicity amplitudes $L_{\lambda\lambda'}$ which are functions of the Mandelstam variables s (the energy squared of the $\eta\pi^0$ pair) and t, u . In the energy region $\sqrt{s} \lesssim 1.4$ GeV the contributions of the partial waves with $J > 2$ to the cross-sections are negligibly small, while in the region $\sqrt{s} \lesssim 1.1$ GeV, the S -wave $l_{0++}(s)$ dominates. Theoretical descriptions of this amplitude based on general FSI methods have been

¹The minus sign arises because the Ω matrix is defined with respect to isospin eigenstates and one has $|\eta\pi^+\rangle = -|1, 1\rangle$.

discussed recently [23, 24]. A closely related, but somewhat less general approach, was used earlier in ref. [25]. $l_{0++}(s)$ is an analytic function of s except for two cuts.

The right-hand cut $s > (m_\eta + m_\pi)^2$, as in the case of the form factor, is associated with unitarity. We will consider an energy region in which two-channel unitarity is a reasonable approximation such that one can write

$$\begin{aligned}\text{Im}[l_{0++}(s)] &= \sigma_{\eta\pi^0}(s) l_{0++}(s) T_{11}^*(s) + \sigma_{K\bar{K}}(s) k_{0++}^1(s) T_{12}^*(s), \\ \text{Im}[k_{0++}^1(s)] &= \sigma_{\eta\pi^0}(s) l_{0++}(s) T_{12}^*(s) + \sigma_{K\bar{K}}(s) k_{0++}^1(s) T_{22}^*(s),\end{aligned}\quad (8)$$

where $k_{0++}^1(s)$ is the $J = 0$ $\gamma\gamma \rightarrow (K\bar{K})^{I=1}$ amplitude and the T -matrix elements associated with the states $|\eta\pi^0\rangle$, $|K\bar{K}\rangle$ are denoted simply as T_{ij} . In addition to the right-hand cut, the amplitudes $l_{0++}(s)$, $k_{0++}^1(s)$ have a left-hand cut on the negative real axis $-\infty < s \leq 0$. There are no other singularities. The amplitudes must also satisfy Low's soft photon theorem [26] which states that

$$\lim_{s \rightarrow 0} l_{0++}(s) = 0, \quad \lim_{s \rightarrow 0} \bar{k}_{0++}^1(s) = 0, \quad (9)$$

where $\bar{k}_{0++}^1 \equiv k_{0++}^1(s) - k_{0++}^{1,Born}(s)$ and $k_{0++}^{1,Born}$ is the $I = 1$ projection of the amplitude part induced by the Kaon pole in the t and u channels, which coincides with the QED Born amplitude

$$k_{0++}^{1,Born}(s) = -\frac{2\sqrt{2}m_{K^+}^2}{s\sigma_{K^+}(s)} \log \frac{1 + \sigma_{K^+}(s)}{1 - \sigma_{K^+}(s)}, \quad (10)$$

and $\sigma_{K^+}(s) = \sqrt{1 - 4m_{K^+}^2/s}$. Knowing the location of the singularities allows one to write Cauchy dispersive integral representations² for l_{0++} and k_{0++}^1 . Combining these with the unitarity relations (8) leads to a set of Muskhelishvili-type singular equations for the amplitudes. The solutions, taking into account the soft photon constraints, can be expressed in the following form in terms of the Omnès matrix [24]

$$\begin{pmatrix} l_{0++}(s) \\ k_{0++}^1(s) \end{pmatrix} = \begin{pmatrix} 0 \\ k_{0++}^{1,Born}(s) \end{pmatrix} + s \begin{pmatrix} \Omega_{11}(s) & \Omega_{12}(s) \\ \Omega_{21}(s) & \Omega_{22}(s) \end{pmatrix} \begin{pmatrix} b_l + L_1^V(s) + R_1^{Born}(s) \\ b_k + L_2^V(s) + R_2^{Born}(s) \end{pmatrix}. \quad (11)$$

In these equations the functions R_i^{Born} are integrals involving the Born amplitude,

$$R_i^{Born}(s) = -\frac{s - s_A}{\pi} \int_{4m_{K^+}^2}^{\infty} \frac{ds'}{s'(s' - s_A)(s' - s)} \text{Im}[(\Omega^{-1})_{i2}(s')] k_{0++}^{1,Born}(s'), \quad (12)$$

where s_A can conveniently be taken to be equal to the Adler zero of the amplitude l_{0++} ($s_A \simeq m_\eta^2$) and the functions $L_i^V(s)$ are integrals over the left-hand cut involving $\text{Im}[l_{0++}(s')]$, $\text{Im}[\bar{k}_{0++}^1(s')]$. These imaginary parts are approximated by the contributions induced by the light vector meson poles in the t (or u) channels, i.e.

$$\text{Im}[l_{0++}(s')] \simeq \sum_{V=\rho,\omega,\phi} \text{Im}[l_{0++}^V(s')], \quad \text{Im}[\bar{k}_{0++}^1(s')] \simeq \text{Im}[\bar{k}_{0++}^{1,K^*}(s')] \quad (s' \leq 0). \quad (13)$$

As before, the contributions from the higher energy regions of the cuts, where the approximations made are no longer accurate, are absorbed into a set of subtraction parameters. Eq. (11) involves two such parameters b_l , b_k .

The representation (11) for the S -wave $\gamma\gamma$ amplitudes, supplemented with a simple Breit-Wigner model for the D -waves, was compared to the experimental data on photon-photon

²It is also necessary to know the asymptotic behaviour. It can be argued [24] that $|l_{0++}(s)|$, $|k_{0++}^1(s)|$ are bounded by \sqrt{s} when $s \rightarrow \infty$.

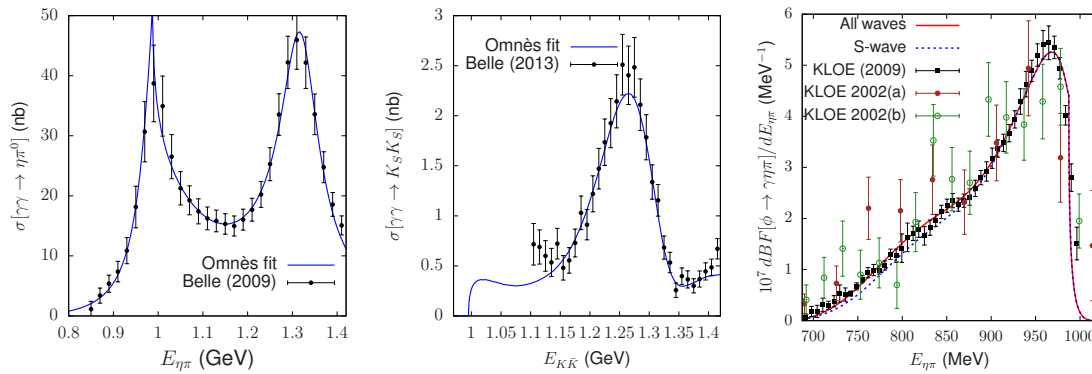


Figure 1: Comparison of experimental data with Omnès-based S -wave amplitude models, left: $\gamma\gamma \rightarrow \pi\eta$ cross-sections, middle: $\gamma\gamma \rightarrow K_S K_{\bar{S}}$ cross-sections, right: $\phi \rightarrow \gamma\eta\pi$ energy distribution.

scattering to $\eta\pi$ cross-sections in [23,24]. In ref. [24] experimental data on $\gamma\gamma \rightarrow K_S K_{\bar{S}}, K^+ K^-$ was considered as well, which allows to constrain not only the constants b_l, b_k but also the Ω matrix. For that purpose, a previously proposed six parameters model for the underlying T -matrix [27] was used, from which Ω is computed by solving numerically the related Muskhelishvili-Omnès equations. Fig. (1) shows an illustrative comparison of cross sections computed from this fitted theoretical model with the experimental ones.

Let us now consider the case where one photon has a non-vanishing virtuality q^2 , and label the S -wave amplitudes as $l_{0++}(s, q^2), k_{0++}^1(s, q^2)$. These amplitudes are analytic functions of the energy s with two cuts (there are no anomalous thresholds), such that one can write a dispersive representation in terms of the Omnès matrix, exactly as before,

$$\begin{pmatrix} l_{0++}(s, q^2) \\ k_{0++}(s, q^2) \end{pmatrix} = \begin{pmatrix} 0 \\ \tilde{k}^{1, \text{Born}}(s, q^2) \end{pmatrix} + (s - q^2) \begin{pmatrix} \Omega_{11}(s) & \Omega_{12}(s) \\ \Omega_{21}(s) & \Omega_{22}(s) \end{pmatrix} \begin{pmatrix} a_1(q^2) + I_1^{LC}(s, q^2) + I_1^{RC}(s, q^2) \\ a_2(q^2) + I_2^{LC}(s, q^2) + I_2^{RC}(s, q^2) \end{pmatrix}, \quad (14)$$

satisfying the soft photon theorem which now corresponds to $s = q^2$. We will be interested in the case of timelike virtualities and $q^2 > (m_\eta + m_\pi)^2$ which corresponds experimentally to the $e^+e^- \rightarrow \gamma^* \rightarrow \gamma\eta\pi$ processes (and also, up to an isospin rotation, to the vector current part of the radiative τ decays $\tau^+ \rightarrow \gamma\eta\pi^+ \nu, \gamma\bar{K}^0 K^+ \nu$). Some care must be taken in order to properly utilize eq. (14) because the pole singularity of the Born amplitude at $s = q^2$ occurs within the range of integration in the integrals I_i^{RC} . It is convenient to separate this singular part (labelled $\tilde{k}^{1, \text{Born}}$ in (14)) and perform the related integrations analytically. Furthermore, the left-hand cut now has complex components as well as a real component which partly overlaps with the unitarity cut (which leads to a violation of the Fermi-Watson phase theorem). Details on how to properly compute the left-cut integrals I_i^{LC} can be found in [28]). In practice, eqs. (14) were implemented with $q^2 \simeq m_\phi^2$ enabling to determine the $\phi(1020) \rightarrow \gamma\eta\pi$ decay amplitude. As in the $q^2 = 0$ case, the discontinuities across the left-hand cut components are modelled based on the contributions from the light vector mesons ρ, ω, ϕ and K^* . Fig. (1) shows that a rather good description of the accurate experimental data [29,30] can be obtained with a two parameter fit, using the Ω matrix as determined previously from the $\gamma\gamma$ scattering fit.

4 Chiral inputs and results

In the minimal modelling, once the Ω matrix is known the scalar form factors are given in terms of their values at $s = 0$. Since $f_0^{\bar{K}^0 K^+}(0)$ appears multiplied by an isospin breaking factor,

we can simply set $f_0^{\bar{K}^0 K^+}(0) = 1$, because the chiral corrections are $O(m_d - m_u, e^2)$. The chiral expansion of $f_0^{\eta\pi^+}(0)$ takes the following form [12]

$$f_0^{\eta\pi^+}(0) = \epsilon \times (1 + O(p^2)) + \frac{O(e^2 p^2)}{m_\eta^2 - m_\pi^2}, \quad \epsilon = \frac{\sqrt{3}(m_d - m_u)}{4(m_s - \hat{m})}, \quad (15)$$

with $\hat{m} = (m_d + m_u)/2$. The value of ϵ from the FLAG revue [31] is $\epsilon = 1.137(45) \cdot 10^{-2}$. The $O(p^2)$ correction involves the chiral couplings L_7, L_8 which can be computed using the chiral expansions of the pseudo-scalar meson masses at NLO and the value the quark mass ratio m_s/\hat{m} [32], which is known from lattice QCD simulations: $m_s/\hat{m} = 27.42(12)$ (see [31]). The couplings which appear in the $O(e^2 p^2)$ part in (15) are not precisely known, they can be estimated approximately using resonance saturation modelling [33, 34]. Finally, from this NLO chiral expansion, one obtains

$$f_0^{\eta\pi^+}(0) \Big|_{\chi PT} = (1.40 \pm 0.14) \cdot 10^{-2}, \quad (16)$$

assuming a 50% error on the chiral corrections. An alternative estimate can be performed based on a relation, which is exact at NLO, with the K_{l3}^+ and K_{l3}^0 form factors ratio $\Delta_{SU(2)} \equiv f_0^{K^+ \pi^0}(0)/f_0^{K^0 \pi^-}(0) - 1$ [12]. The experimental value $\Delta_{SU(2)} = (2.73 \pm 0.41) \cdot 10^{-2}$ [35] gives $f_0^{\eta\pi^+}(0) \Big|_{\chi PT + K_{l3}} = (1.51 \pm 0.24) \cdot 10^{-2}$ which is compatible with eq. (16). Using the values at $s = 0$ from χ pt the result for $f_0^{\eta\pi}(s)$ from the minimal Omnès representation is illustrated in Fig (2) (solid black line on the left plot). Obviously, this minimal model cannot be very precise. In fact, computing the derivatives of the form factors at $s = 0$ one finds substantial deviations from the $O(p^4)$ χ pt values: $\dot{f}_0^{\eta\pi}(0) \Big|_{min} / \dot{f}_0^{\eta\pi}(0) \Big|_{\chi pt} \sim 0.5$, $\dot{f}_0^{K\bar{K}}(0) \Big|_{min} / \dot{f}_0^{K\bar{K}}(0) \Big|_{\chi pt} \sim 1.6$. The values of the derivatives can be corrected by replacing $f_0^{12}(0)$ in eq. (7) by a linear polynomial $f_0^{12}(0)(1 + \lambda_{12}s)$. Physically, it is plausible that two-channel unitarity is insufficient in the region of the $a_0(1450)$ resonance. Assuming an effective third channel, this suggests the following simple improvement over the minimal model

$$f_0^{\eta\pi}(s) = f_0^{\eta\pi}(s) \Big|_{min} + \Omega_{13}(s)\lambda_{13}, \quad \Omega_{13}(s) \simeq \frac{s}{m_{a'_0}^2 - s - im_{a'_0}\Gamma_{a'_0}}, \quad (17)$$

in which the parameter λ_{13} is adjusted such that the χ pt derivative at $s = 0$ is reproduced. The effects of these various ways to modify the derivatives at $s = 0$ are illustrated in Fig. (2).

The energy dependence of the $\tau \rightarrow \eta\pi\nu$ branching fraction associated to the scalar form factor is shown in Fig. (2) (right). Also shown for comparison is the branching fraction associated with the vector form factor. The dotted red line is the result from ref. [15] in which the amplitude $t_{j=1}^{\pi^0\pi^+\rightarrow\eta\pi^+}$ is determined using $\eta \rightarrow 3\pi$ inputs from ref. [36]. The solid red line is an update which uses the more recent results from [37]. One sees a substantial difference between these two evaluations which gives a measure of the error. Finally, integrating over the $\pi\eta$ energy we find the following results for the branching fractions

$$BF_S = (1.8 \pm 0.7) \cdot 10^{-6}, \quad BF_V = (2.6 \pm 1.30) \cdot 10^{-6}. \quad (18)$$

5 Conclusions

We have proposed a determination of the scalar form factor component of the $\tau \rightarrow \eta\pi\nu$ amplitude based on a two-channel Omnès matrix constrained by photon-photon scattering data and shown to be compatible with ϕ radiative decay data. The result for the branching

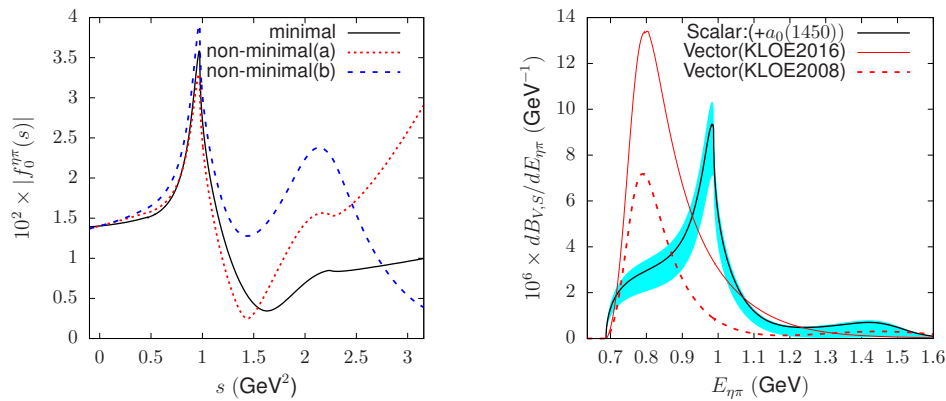


Figure 2: Left plot: absolute value of the scalar form factor $f_0^{\eta\pi}$ from the minimal two-channel Omnès model (black solid line) and from non-minimal models a) imposing the value of the derivative at $s = 0$ via a linear polynomial, b) imposing this value via a third channel. The right plot shows the energy distribution of the $\tau \rightarrow \eta\pi\nu$ branching fractions. The black solid line corresponds to the scalar form factor and blue error band is generated by varying both the input values at $s = 0$ and those of the derivatives. The red lines correspond to the vector form factor (see text).

fraction BF_S (eq. (18)) should be more precise than the one given previously in [15].³ We have also presented an update on the branching fraction generated by the vector form factor. If our results are correct, then the forthcoming search for this rare τ decay mode at Belle II might not be able to clearly see it. However, these new measurements should be able to rule out some of the theoretical models.

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³In that reference an idea proposed in ref. [38] was followed, which consists in making estimates of the behavior of the phase of the form factor in the inelastic region using the QCD asymptotic constraint.

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